

NOTICE: This report is required by 49 CFR Part 191. Failure to report can result in a civil penalty as provided in 49 USC 60122.		OMB NO: 2137-0635 EXPIRATION DATE: 1/31/2029
 U.S. Department of Transportation Pipeline and Hazardous Materials Safety Administration	INCIDENT REPORT – GAS DISTRIBUTION SYSTEM	Report Date _____ No. _____ (DOT Use Only)
A federal agency may not conduct or sponsor, and a person is not required to respond to, nor shall a person be subject to a penalty for failure to comply with a collection of information subject to the requirements of the Paperwork Reduction Act unless that collection of information displays a current valid OMB Control Number. The OMB Control Number for this information collection is 2137-0635. Public reporting for this collection of information is estimated to be approximately 12 hours per response, including the time for reviewing instructions, gathering the data needed, and completing and reviewing the collection of information. All responses to this collection of information are mandatory. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to: Information Collection Clearance Officer, PHMSA, Office of Pipeline Safety (PHP-30) 1200 New Jersey Avenue, SE, Washington, D.C. 20590.		

INSTRUCTIONS

Important: Please read the separate instructions for completing this form before you begin. They clarify the information requested and provide specific examples. If you do not have a copy of the instructions, you can obtain one from the PHMSA Pipeline Safety Community Web Page at <http://www.phmsa.dot.gov/pipeline/library/forms>.

PART A – KEY REPORT INFORMATION

Report Type: (select all that apply) ☐ Original ☐ Supplemental ☐ Final

A1. Operator's OPS-issued Operator Identification Number (OPID): _____

A2. Name of Operator: auto-populated based on OPID

A3. Address of Operator A3a. Street Address: auto-populated based on OPID A3b. City: auto-populated based on OPID
 A3c. State: auto-populated based on OPID A3d. Zip Code: auto-populated based on OPID

A4. Local time (24-hr clock) and date of incident:

_____ Hour _____ Month _____ Day _____ Year

A4a. Time Zone for local time (select only one) ☐ Alaska ☐ Eastern ☐ Central ☐ Hawaii-Aleutian ☐ Mountain ☐ Pacific.

A4b. Daylight Saving in effect? ☐ Yes ☐ No

A5. Location of Incident: A5a. _____ (Street Address or location description)
 A5b. _____ (City)
 A5c. _____ (County or Parish)
 State: _____ A5e. Zip Code: _____

A5f. Latitude: _____ Longitude: - _____

A6. Gas released : (select only one, based on predominant volume released)

☐ Natural Gas ☐ Propane Gas ☐ Synthetic Gas ☐ Hydrogen Gas ☐ Landfill Gas ☐ Other Gas Name: _____

A7. Estimated volume of gas released unintentionally: _____ thousand standard cubic feet (mcf)

A8. Estimated volume of intentional and controlled release/blowdown: _____ thousand standard cubic feet (mcf)

A9. Were there fatalities?

☐ Yes ☐ No

If Yes, specify the number in each category:

A9a. Operator employees: _____

A9b. Contractor employees working for the Operator: _____

A9c. Non-Operator emergency responders: _____

A9d. Workers working on the right-of-way, but NOT associated with this Operator: _____

A9e. General public: _____

A9f. Total fatalities (sum of above): calculated

A10. Were there injuries requiring inpatient hospitalization?

☐ Yes ☐ No

If Yes, specify the number in each category:

A10a. Operator employees: _____

A10b. Contractor employees working for the Operator: _____

A10c. Non-Operator emergency responders: _____

A10d. Workers working on the _____ right-of-way, but NOT associated with this Operator: _____

A10e. General public: _____

A10f. Total injuries (sum of above): calculated

A11. What was the Operator's initial indication of the Failure? (*select only one*)

- ☐ SCADA-based information (such as alarm(s), alert(s), event(s), and/or volume or pack calculations)
☐ Static Shut-in Test or Other Pressure or Leak Test ☐ Controller ☐ Local Operating Personnel, including contractors
☐ Air Patrol ☐ Ground Patrol by Operator or its contractor ☐ Notification from Public
☐ Notification from Emergency Responder ☐ Notification from Third Party that caused the Incident ☐ Other: _____

A11a. If "Controller", "Local Operating Personnel, including contractors", "Air Patrol", or "Ground Patrol by Operator or its contractor" is selected in Question A11, specify the following: (*select only one*) ☐ Operator employee ☐ Contractor working for the Operator

A12. Local time operator identified failure

Hour Month Day Year

If A11 = Notification from Emergency Responder, skip questions A13 through A15.

A13. Did the operator communicate with Local, State, or Federal Emergency Responders about the incident? ☐ Yes ☐ No

If No, skip A14 and A15

A14. Which party initiated communication about the incident? ☐ Operator ☐ Local/State/Federal Emergency Responder

A15. Local time of initial Operator and Local/State/Federal Emergency Responder communication

Hour Month Day Year

A16. Local time operator resources arrived on site

Hour Month Day Year

A17. Local time of confirmed discovery / / / / / / / /
Hour Month Day Year

A18. Local time (24-hr clock) and date of initial operator report to the National Response Center:

Hour Month Day Year

A19. Initial Operator National Response Center Report Number OR ☐ NRC Notification Required But Not Made

A19a. Additional NRC Report numbers submitted by the operator: _____

A20. Method of Flow Control (*select all that apply*)

- ☐ "Key/Critical" Valve – inspected in accordance with Part 192.747 ☐ Main Valve other than "Key/Critical"
☐ Service (curb) Valve ☐ Meter/Regulator shut-off Valve ☐ Excess flow valve
☐ Squeeze-Off ☐ Stopple fitting ☐ Other: _____

A21. Did the gas ignite? ☐ Yes ☐ No

If A21 = Yes, answer A21a through A21d.

A21a. Local time of ignition

Hour Month Day Year

A21b. How was the fire extinguished?

☐ Operator/Contractor ☐ Local/State/Federal Emergency Responder ☐ Allowed to burn out ☐ Other, specify: _____

A21c. Estimated volume of gas consumed by fire (MCF): _____ (must be less than or equal to A7)

A21d. Did the gas explode? ☐ Yes ☐ No

A22. Number of general public evacuated: _____

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C4c. If Plastic ⇒ Specify type: ☐ Polyvinyl Chloride (PVC) ☐ Polyethylene (PE) ☐ Cross-linked Polyethylene (PEX)
☐ Polybutylene (PB) ☐ Polypropylene (PP) ☐ Acrylonitrile Butadiene Styrene (ABS)
☐ Polyamide (PA) ☐ Cellulose Acetate Butyrate (CAB)

☐ Other ☐ Specify: _____

☐ Unknown

C4d. If Plastic ⇒ Specify Standard Dimension Ratio (SDR): / / / / / or wall thickness: / / / / / or ☐ Unknown

C4e. If Polyethylene (PE) is selected as the type of plastic in PART C, Question 4.c ⇒

Specify PE Pipe Material Designation Code (i.e., 2406, 3408, etc.) PE / / / / / or ☐ Unknown

C5. Type of release involved: (*select only one*)

☐ Mechanical Puncture Approx. size: / / / / / in. (axial) by / / / / / in. (circumferential)

☐ Leak Select Type: ☐ Pinhole ☐ Crack ☐ Connection Failure ☐ Seal or Packing ☐ Other

☐ Rupture Select Orientation: ☐ Circumferential ☐ Longitudinal ☐ Other _____

Approx. size: / / / / / in. (widest opening) by / / / / / in. (length circumferentially or axially)

☐ Other *Describe: _____

D1. Class Location of Incident: *(select only one)*

- D2. Estimated Property Damage :

D2d. Estimated other costs \$ / / / , / / / , / / /

D2e. Total estimated property damage (sum of above) \$ *calculated*

Cost of Gas in \$ per thousand standard cubic feet (mcf): _____

D2i. Estimated Total Cost (sum of D2e and D2h) \$ *calculated*

D3c. Residences / / / / /

If a person is included in D4, do not include them in D5.

D5. Estimated number of persons with injuries requiring treatment by EMTs at the site of incident: _____

D7. Number of business buildings affected (evacuated or required repair or had gas service interrupted): _____

E1. Estimated pressure at the point and time of the Incident (psig): / / / / /

E2. Normal operating pressure at the point and time of the Incident (psig): / / / / /

E3. Maximum Allowable Operating Pressure (MAOP) at the point and time of the Incident (psig): / / / / /

☐ 192.619 (a)(1) ☐ 192.619 (a)(2) ☐ 192.619 (a)(3) ☐ 192.619 (a)(4) ☐ 192.619 (c)
☐ 192.621 m ☐ 192.623

☐ Pressure did not exceed MAOP

☐ Pressure exceeded MAOP, but did not exceed the applicable allowance in §192.201

☐ Pressure exceeded the applicable allowance in §192.201

☐ none ☐ drip ☐ injection pump ☐ by-pass ☐ wick
☐ combination of odorization types ☐ odorized by others ☐ Other, specify: _____

☐ Yes, but the investigation of the control room and/or controller actions has not yet been completed by the operator *(Supplemental Report required)*

☐ No, the facility was not monitored by a controller(s) at the time of the Incident

☐ No, the operator did not find that an investigation of the controller(s) actions or control room issues was necessary due to:
(provide an explanation for why the operator did not investigate)

○ Investigation did NOT review work schedule rotations, continuous hours of service (while working for the Operator) and other factors associated with fatigue (provide an explanation for why not)

- ☐ Investigation identified no control room issues
- ☐ Investigation identified no controller issues
- ☐ Investigation identified incorrect controller action or controller error
- ☐ Investigation identified that fatigue may have affected the controller(s) involved or impacted the involved controller(s) response
- ☐ Investigation identified incorrect procedures
- ☐ Investigation identified incorrect control room equipment operation
- ☐ Investigation identified maintenance activities that affected control room operations, procedures, and/or controller response
- ☐ Investigation identified areas other than those above Describe:

PART F – DRUG & ALCOHOL TESTING INFORMATION

F1. As a result of this Incident, were any Operator employees tested under the post-accident drug and alcohol testing requirements of DOT's Drug & Alcohol Testing regulations?

- ☐ No
☐ Yes ☐ F1a. Specify how many were tested: / / /
☐ F1b. Specify how many failed: / /

F2. As a result of this Incident, were any Operator contractor employees tested under the post-accident drug and alcohol testing requirements of DOT's Drug & Alcohol Testing regulations?

- ☐ No
☐ Yes ☐ F2a. Specify how many were tested: / / /
☐ F2b. Specify how many failed: / /

PART G – APPARENT CAUSE Select only one box from PART G in the shaded column on the left representing the APPARENT Cause of the Incident, and answer the questions on the right. Enter secondary, contributing, or root causes of the Incident in Part J – Contributing Factors

G1 – Corrosion Failure – only one **sub-cause** can be picked from shaded left-hand column

☐ **External Corrosion**

1. Results of visual examination:
 - ☐ Localized Pitting
 - ☐ General Corrosion
 - ☐ Other _____
2. Type of corrosion: (select all that apply):
 - ☐ Galvanic
 - ☐ Atmospheric
 - ☐ Stray Current
 - ☐ Microbiological
 - ☐ Selective Seam
 - ☐ Other _____
- 2a. If 2. is Stray Current, specify:
 - ☐ Alternating Current
 - ☐ Direct Current
 - AND
- 2b. Describe the stray current source: _____
3. The type(s) of corrosion selected in Question 2 is based on the following: (select all that apply)
 - ☐ Field examination
 - ☐ Determined by metallurgical analysis
 - ☐ Other _____
4. Was the failed item buried or submerged?
 - ☐ Yes ⇨
 - 4a. Was failed item considered to be under cathodic protection at the time of the incident?
 - ☐ Yes ⇨ Year protection started: ____/____/____/____/____/____
 - ☐ No
 - 4b. Was shielding, tenting, or disbonding of coating evident at the point of the incident?
 - ☐ Yes
 - ☐ No
 - 4c. Has one or more Cathodic Protection Survey been conducted at the point of the incident? (select all that apply)
 - ☐ Yes, CP Annual Survey ⇨ Most recent year conducted: ____/____/____/____/____
 - ☐ Yes, Close Interval Survey ⇨ Most recent year conducted: ____/____/____/____/____
 - ☐ Yes, Other CP Survey ⇨ Most recent year conducted: ____/____/____/____/____
 - Describe Other CP Survey: _____
 - ☐ No
 - ☐ No ⇨
 - 4d. Was the failed item externally coated or painted?
 - ☐ Yes
 - ☐ No
5. Was there observable damage to the coating or paint in the vicinity of the corrosion?
 - ☐ Yes
 - ☐ No
 - ☐ N/A Bare/Ineffectively Coated Pipe
6. Pipeline coating type, if steel pipe is involved: (select only one)
 - ☐ Epoxy
 - ☐ Coal Tar
 - ☐ Asphalt
 - ☐ Polyolefin
 - ☐ Extruded Polyethylene
 - ☐ Cold Applied Tape
 - ☐ Paint
 - ☐ Composite
 - ☐ None
 - ☐ Other _____
 - ☐ Unknown
- 6a. Field Applied? Y, N, or Unknown

☐ Internal Corrosion

7. Results of visual examination:
- ☐ Localized Pitting ☐ General Corrosion ☐ Not cut open ☐ Other _____
8. Cause of corrosion: (*select all that apply*)
- ☐ Corrosive Commodity ☐ Water drop-out/Acid ☐ Microbiological ☐ Erosion
- ☐ Other _____
9. The cause(s) of corrosion selected in Question 8 is based on the following; (*select all that apply*)
- ☐ Field examination ☐ Determined by metallurgical analysis ☐ Other _____
10. Location of corrosion: (*select all that apply*)
- ☐ Low point in pipe ☐ Elbow ☐ Drop-out ☐ Other _____
11. Was the gas/fluid treated with corrosion inhibitors or biocides? ☐ Yes ☐ No
12. Were any liquids found in the distribution system where the Incident occurred? ☐ Yes ☐ No

Complete the following if any Corrosion Failure sub-cause is selected AND the "Part of system involved in Incident" (from PART C, Question 2) is Main, Service, or Service Riser.

13. Date of the most recent Leak Survey conducted: / / / / / / / / /
 Month Day Year
14. Has one or more pressure test been conducted since original construction at the point of the Incident?
☐ Yes ➡ Most recent year tested: / / / / / Test pressure (psig): / / / / / /
☐ No

G2 – Natural Force Damage – only one **sub-cause** can be picked from shaded left-handed column

- | | | | | |
|---|-----------------------------|---|---|---|
| ☐ Earth Movement, NOT due to Heavy Rains/Floods | 1. Specify: | ☐ Earthquake | ☐ Subsidence | ☐ Landslide |
| | ☐ Other | _____ | | |
|
☐ Heavy Rains/Floods | 2. Specify: | ☐ Washouts/Scouring | ☐ Flotation | ☐ Mudslide |
| | ☐ Other | _____ | | |
|
☐ Lightning | 3. Specify: | ☐ Direct hit | ☐ Secondary impact such as resulting nearby fires | |
|
☐ Temperature | 4. Specify: | ☐ Thermal Stress | ☐ Frost Heave | ☐ Frozen Components |
| | ☐ Other | _____ | | |
|
☐ High Winds | | | | |
|
☐ Tree/Vegetation Roots | | | | |
|
☐ Damage from Snow/Ice Impact or Accumulation | | | | |
|
☐ Other Natural Force Damage | 5. Describe: _____ | | | |

Complete the following if any Natural Force Damage sub-cause is selected.

6. Were the natural forces causing the Incident generated in conjunction with an extreme weather event? ☐ Yes ☐ No
- 6.a. If Yes, specify: *(select all that apply)* ☐ Hurricane ☐ Tropical Storm ☐ Tornado
☐ Other _____

G3 – Excavation Damage – only one **sub-cause** can be picked from shaded left-hand column

☐ **Excavation Damage by Operator (First Party)**

☐ **Excavation Damage by Operator's Contractor (Second Party)**

☐ **Excavation Damage by Third Party**

☐ **Previous Damage due to Excavation Activity**

Complete the following ONLY IF the "Part of system involved in Incident" (from PART C, Question 2) is Main, Service, or Service Riser.

1. Date of the most recent Leak Survey conducted: / / Month Day Year

2. Has one or more pressure test been conducted since original construction at the point of the Incident?

☐ Yes ➡ Most recent year tested: / / /

Test pressure (psig): / / / / /

☐ No

Complete the following if any Excavation Damage sub-cause is selected.

3. Did the operator get prior notification of the excavation activity? ☐ Yes ☐ No

3a. If Yes, Notification received from: (*select all that apply*) ☐ One-Call System ☐ Excavator ☐ Contractor ☐ Landowner

3b. Per the primary Incident Investigator report, did State law exempt the excavator from notifying the one-call center? ☐ Yes ☐ No ☐ Unknown

If yes, answer 3c through 3e.

3c. (select only one)

☐ Excavator is exempt

☐ Activity is exempt and did not exceed the limits of the exemption

☐ Activity is exempt and exceeded the limits of the exemption

☐ Other mandatory text field:

3d. Exempting Authority: _____

3e. Exempting Criteria: _____

4. Do you want PHMSA to upload the following information to CGA-DIRT (www.cga-dirt.com)? ☐ Yes ☐ No

5. Right-of-Way where event occurred: (*select all that apply*)

☐ Public ➡ Specify: ☐ City Street ☐ State Highway ☐ County Road ☐ Interstate Highway ☐ Other

☐ Private ➡ Specify: ☐ Private Landowner ☐ Private Business ☐ Private Easement

☐ Pipeline Property/Easement

☐ Power/Transmission Line

☐ Railroad

☐ Dedicated Public Utility Easement

☐ Federal Land

☐ Unknown/Other

6 Was the facility part of a Joint Trench? ☐ Yes ☐ No

7. Did this event involve a Cross Bore? ☐ Yes ☐ No

8. Measured Depth from Grade: (*select only one*)

☐ Embedded in Concrete/Asphalt Pavement ☐ <18" ☐ 18" – 36"

☐ >36" ☐ Measured depth From Grade in inches: _____

9. Type of excavator: (*select only one*)

☐ Contractor ☐ County ☐ Developer ☐ Farmer ☐ Municipality ☐ Occupant

☐ Railroad ☐ State ☐ Utility ☐ Unknown/Other

10. Type of excavation equipment: (*select only one*)

☐ Auger ☐ Backhoe/Trackhoe ☐ Boring ☐ Drilling ☐ Directional Drilling

☐ Explosives ☐ Farm Equipment ☐ Grader/Scraper ☐ Hand Tools ☐ Milling Equipment

☐ Probing Device ☐ Trencher ☐ Vacuum Equipment ☐ Bulldozer ☐ Unknown/Other

11. Type of work performed: (*select only one*)

☐ Agriculture ☐ Cable TV ☐ Curb/Sidewalk ☐ Building Construction ☐ Building Demolition

☐ Drainage ☐ Driveway ☐ Electric ☐ Engineering/Surveying ☐ Fencing

☐ Grading ☐ Irrigation ☐ Landscaping ☐ Liquid Pipeline ☐ Milling

☐ Natural Gas ☐ Pole ☐ Public Transit Authority ☐ Railroad Maintenance ☐ Road Work

☐ Sewer (Sanitary/Storm) ☐ Site Development ☐ Steam ☐ Storm Drain/Culvert ☐ Street Light

☐ Telecommunications ☐ Traffic Signal ☐ Traffic Sign ☐ Water ☐ Waterway Improvement

☐ Unknown/Other

12a. If Yes, specify ticket number: /

12c. Was work area white lined? ☐ No ☐ Yes ☐ Unknown

14. Were facility locate marks visible in the area of excavation? ☐ No ☐ Yes ☐ Unknown/Other

15a. If Yes, specify duration of the interruption: /__ /__ /__ /__ / hours

Notification Issue

- ## Excavation Issue

- ## Locating Issue

- ☐ Facility not marked due to Abandoned facility
- ☐ Facility not marked due to Incorrect facility records/maps
- ☐ Facility not marked due to Locator error
- ☐ Facility not marked due to No response from operator/contract locator
- ☐ Facility not marked due to Incomplete marks at damage location
- ☐ Facility not marked due to Tracer wire issue
- ☐ Facility not marked due to Unlocatable Facility
- ☐ Facility marked inaccurately due to Abandoned facility
- ☐ Facility marked inaccurately due to Incorrect facility records/maps
- ☐ Facility marked inaccurately due to Locator error
- ☐ Facility marked inaccurately due to Tracer wire issue

Miscellaneous Root Causes

- ☐ Deteriorated facility
☐ One Call Center Error
☐ Previous damage
☐ Root Cause not listed (comment required): _____

☐ **Nearby Industrial, Man-made, or Other Fire/Explosion as Primary Cause of Incident**

☐ Operator ☐ Operator's Contractor ☐

If this sub-cause is picked, complete questions 7-13 below.

☐ Hurricane ☒ Tropical Storm ☐

Month Day Year

☐ Yes ☐ Most recent year tested: / / / / /

☐ No

- ☐ Vandalism
- ☐ Terrorism
- ☐ Theft of transported commodity
- ☐ Theft of equipment
- ☐ Other

6. Describe: _____

- ☐ 7a. Excessive Speed
- ☐ 7b. Reckless Driving
- ☐ 7c. Driving Under the Influence
- ☐ 7d. Other, describe:

11. Where did the vehicle travel from to hit the pipeline facility? (select only one)

☐ Roadway ☐ Driveway ☐ Parking Lot ☐ Loading Dock ☐ Off-Road

- ☐ 13a. Bollards/Guard Posts
- ☐ 13b. Barricades, including "jersey" barriers and fences
- ☐ 13c. Guard Rails
- ☐ 13d. Meter Box
- ☐ 13e. Ingress or Regress at a Residence

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G5 – Pipe, Weld, or Joint Failure – only one **sub-cause** can be selected from the shaded left-hand column

☐ **Body of Pipe**

1. Specify: ☐ Dent ☐ Gouge ☐ Bend ☐ Arc Burn ☐ Crack
☐ Other _____

☐ **Butt Weld**

2. Specify: ☐ Pipe ☐ Fabrication ☐ Other

☐ **Fillet Weld**

3. Specify: ☐ Branch ☐ Hot Tap ☐ Fitting ☐ Repair Sleeve
☐ Other _____

☐ **Pipe Seam**

4. Specify: ☐ LF ERW ☐ HF ERW ☐ Flash Weld ☐ DSAW
☐ SAW ☐ Spiral ☐ Other -

☐ **Threaded Metallic Pipe**

☐ **Mechanical Joint Failure**

5a. Specify the Mechanical Fitting Involved (*select only one*)

☐ Stab ☐ Nut Follower ☐ Bolted
☐ Other Compression Type Fitting (*specify*): _____

5b. Specify the Type of Mechanical Fitting (*select only one*)

☐ Service or Main Tee ☐ Tapping Tee ☐ Transition Fitting
☐ Coupling ☐ Riser ☐ Adapter ☐ Valve ☐ Sleeve
☐ End Cap ☐ Other (*specify*): _____

5c. Fitting Manufacturer: _____ or ☐ Unknown

5d. Part or Model Number: _____ or ☐ Unknown

5e. Fitting Material (*select only one*)

☐ Steel ☐ Plastic ☐ Brass ☐ Combination Plastic and Steel
☐ Unknown ☐ Other (*specify*): _____

5f. How did the joint failure occur? (*select only one*)

☐ Leaked Through Seal ☐ Leaked Through Body
☐ Pulled Out ☐ Other (*specify*): _____

☐ **Fusion Joint**

6. Specify: ☐ Butt, Heat Fusion ☐ Butt, Electrofusion ☐ Saddle,
Heat Fusion

☐ Saddle, Electrofusion ☐ Socket, Heat Fusion ☐
Socket, Electrofusion

☐ Other _____

7. Year installed: / / / / /

8. Other

attributes: _____

9. Specify the two materials being joined:

9a. First material being joined:

☐ Polyvinyl Chloride (PVC) ☐ Polyethylene (PE)
☐ Cross-linked Polyethylene (PEX) ☐ Polybutylene
(PB)
☐ Polypropylene (PP) ☐ Acrylonitrile Butadiene
Styrene (ABS) ☐
Polyamide (PA) ☐ Cellulose Acetate Butyrate (CAB)
☐ Other ⇒ Specify: _____

9b. Second material being joined:

☐ Polyvinyl Chloride (PVC) ☐ Polyethylene (PE)
☐ Cross-linked Polyethylene (PEX) ☐ Polybutylene
(PB)
☐ Polypropylene (PP) ☐ Acrylonitrile Butadiene
Styrene (ABS) ☐
Polyamide (PA) ☐ Cellulose Acetate Butyrate (CAB)
☐ Other ⇒ Specify: _____

☐ **Other Pipe, Weld, or Joint Failure**

10.
Describe: _____

Complete the following if any Pipe, Weld, or Joint Failure sub-cause is selected.

11. Additional Factors: *(select all that apply)* ☐ Dent ☐ Gouge ☐ Pipe Bend ☐ Arc Burn ☐ Crack ☐ Lack of Fusion
☐ Lamination ☐ Buckle ☐ Wrinkle ☐ Misalignment ☐ Burnt Steel
☐ Other _____

12. Was the Incident a result of:

- ☐ Construction defect, specify: $\Rightarrow$ ☐ Poor workmanship ☐ Procedure not followed ☐ Poor construction/installation procedures
☐ Material defect, specify: $\Rightarrow$ ☐ Long seam ☐ Other _____
☐ Design defect
☐ Previous damage

13. Has one or more pressure test been conducted since original construction at the point of the Incident?

- ☐ Yes $\Rightarrow$ Most recent year tested: / / / / / Test pressure (psig): / / / / /
☐ No

G6 – Equipment Failure— only one **sub-cause** can be selected from the shaded left-hand column

☐ **Malfunction of Control/Relief Equipment**

1. Specify: *(select all that apply)*

- ☐ Control Valve ☐ Instrumentation ☐
SCADA
☐ Communications ☐ Block Valve ☐
Check Valve
☐ Relief Valve ☐ Power Failure ☐
Stopple/Control Fitting ☐ Pressure Regulator
☐
Other _____

☐ **Threaded Connection Failure**

2. Specify: ☐ Pipe Nipple ☐ Valve Threads ☐ Threaded
Pipe Collar
☐ Threaded Fitting ☐ Other

☐ **Non-threaded Connection Failure**

3. Specify: ☐ O-Ring ☐ Gasket ☐ Other Seal or Packing
☐
Other _____

☐ **Valve**

4. Specify: ☐ Manufacturing defect ☐ Other

4a. Valve type:

4b. Manufactured by:

4c. Year manufactured: / / / / / or ☐ Unknown

4d. Valve Material: ☐ Steel ☐ Plastic ☐ Cast/Wrought Iron ☐
Ductile Iron

☐ Other, specify: *mandatory text*
field _____

☐ **Other Equipment Failure**

5. Describe:

G7 – Incorrect Operation – *only one **sub-cause** can be selected from the shaded left-hand

- ☐ **Damage by Operator or Operator's Contractor NOT Related to Excavation and NOT due to Motorized Vehicle/Equipment Damage**
- ☐ **Valve Left or Placed in Wrong Position, but NOT Resulting in an Overpressure**
- ☐ **Pipeline or Equipment Overpressured**
- ☐ **Equipment Not Installed Properly**
- ☐ **Wrong Equipment Specified or Installed**
- ☐ **Other Incorrect Operation**

1. Describe: _____

Complete the following if any Incorrect Operation sub-cause is selected.

2. Was this Incident related to: *(select all that apply)*

- ☐ Inadequate procedure
- ☐ No procedure established
- ☐ Failure to follow procedure
- ☐ Other:* _____

3. What category type was the activity that caused the Incident:

- ☐ Construction
- ☐ Commissioning
- ☐ Decommissioning
- ☐ Right-of-Way activities
- ☐ Routine maintenance
- ☐ Other maintenance
- ☐ Normal operating conditions
- ☐ Non-routine operating conditions (abnormal operations or emergencies)

4. Was the task(s) that led to the Incident identified as a covered task in your Operator Qualification Program? ☐ Yes ☐ No

4a. If Yes, were the individuals performing the task(s) qualified for the task(s)?

- ☐ Yes, they were qualified for the task(s)
- ☐ No, but they were performing the task(s) under the direction and observation of a qualified individual
- ☐ No, they were not qualified for the task(s) nor were they performing the task(s) under the direction and observation of a qualified individual

G8 – Other Incident Cause – *only one **sub-cause** can be selected from the shaded left-hand column

☐ **Miscellaneous**

1. Describe: _____

☐ **Unknown**

2. Specify: ☐ Investigation complete, cause of Incident unknown

Mandatory comment field:

☐ Still under investigation, cause of Incident to be determined*
(*Supplemental Report required)

PART J – CONTRIBUTING FACTORS

The Apparent Cause of the accident is contained in Part G. Do not report the Apparent Cause again in this Part J. If Contributing Factors were identified, select all that apply below and explain each in the Narrative:

External Corrosion

- ☐ External Corrosion, Galvanic
- ☐ External Corrosion, Atmospheric
- ☐ External Corrosion, Stray Current Induced
- ☐ External Corrosion, Microbiologically Induced
- ☐ External Corrosion, Selective Seam

Internal Corrosion

- ☐ Internal Corrosion, Corrosive Commodity
- ☐ Internal Corrosion, Water drop-out/Acid
- ☐ Internal Corrosion, Microbiological
- ☐ Internal Corrosion, Erosion

Natural Forces

- ☐ Earth Movement, NOT due to Heavy Rains/Floods
- ☐ Heavy Rains/Floods
- ☐ Lightning
- ☐ Temperature
- ☐ High Winds
- ☐ Snow/Ice
- ☐ Tree/Vegetation Root

Excavation Damage

- ☐ Excavation Damage by Operator (First Party)
- ☐ Excavation Damage by Operator's Contractor (Second Party)
- ☐ Excavation Damage by Third Party
- ☐ Previous Damage due to Excavation Activity

Other Outside Force

- ☐ Nearby Industrial, Man-made, or Other Fire/Explosion
- ☐ Damage by Car, Truck, or Other Motorized Vehicle/Equipment NOT Engaged in Excavation
- ☐ Damage by Boats, Barges, Drilling Rigs, or Other Adrift Maritime Equipment
- ☐ Routine or Normal Fishing or Other Maritime Activity NOT Engaged in Excavation
- ☐ Electrical Arcing from Other Equipment or Facility
- ☐ Previous Mechanical Damage NOT Related to Excavation
- ☐ Intentional Damage
- ☐ Other underground facilities buried within 12 inches of the failure location

Pipe/Weld Failure

- ☐ Design-related
- ☐ Construction-related
- ☐ Installation-related
- ☐ Fabrication-related
- ☐ Original Manufacturing-related

Equipment Failure

- ☐ Malfunction of Control/Relief Equipment
- ☐ Threaded Connection/Coupling Failure
- ☐ Non-threaded Connection Failure
- ☐ Valve Failure

Incorrect Operation

- ☐ Damage by Operator or Operator's Contractor NOT Excavation and NOT Vehicle/Equipment Damage
- ☐ Valve Left or Placed in Wrong Position, but NOT Resulting in Overpressure
- ☐ Pipeline or Equipment Overpressured
- ☐ Equipment Not Installed Properly
- ☐ Wrong Equipment Specified or Installed
- ☐ Inadequate Procedure
- ☐ No procedure established
- ☐ Failure to follow procedures

This image shows a single sheet of white paper with horizontal blue or grey ruling lines. The lines are evenly spaced and run across the width of the page. There are approximately 20 lines visible. The paper has a slight shadow on its right side, suggesting it's resting on a surface.

Preparer's Name (type or print)

Preparer's Title (type or print)

Preparer's E-mail Address

Local Contact Name: optional

Local Contact Email: optional

Local Contact Phone: optional

Authorized Signer

Authorized Signer's Title

Authorized Signer Telephone Number